

## PATENT APPLICATION

Sheet 1 of 4

FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. 10006728-1	APPLICATION NO. 00/000,000	CONFIRMATION NO.
	APPLICANT Kevin C. Iins		
	FILING DATE Unknown	GROUP Unk 2114	

jc821 U.S. PTO  
09/966953  
09/27/01

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
AML	1A	5,506,955	04-09-96	Chen, et al.	395	183.02
AML	1B	5,566,317	10-15-96	Treiber, et al.	395	444
AML	1C	5,684,945	11-04-97	Chen, et al.	395	182.18
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

ban	1O	"Making Sure Disk Performance Statistics are Collected", Microsoft Corporation, 2000, 2 pages.
	1R	"Monitoring Hard Disk Performance" i386, Dublin, Ireland, 2001, 2 pages.
✓	1S	"Using th Performance Monitor", Microsoft Corporation, 2000, 2 pages.

EXAMINER

DATE CONSIDERED

08/24/04

**PATENT APPLICATION**

Sheet 2 of 4

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> <b>10006728-1</b>	<b>APPLICATION NO.</b> <b>00/000,000</b>	<b>CONFIRMATION NO.</b>
<b>APPLICANT</b> <b>Kevin C Illins</b>			
		<b>FILING DATE</b> <b>Unknown</b>	<b>GROUP</b> <b>Unk 2114</b>

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A				
	2B				
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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	2L						
	2M						
	2N						
	2O						
	2P						

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

<i>Am</i>	2Q	"Pre-Failure Alerting", Dell Computer Corporation, published to the Internet at least as early as April 9, 2001, 1 page.
<i>Am</i>	2R	"Efficient, Real-Time Management of Storage Resources", U.S. Patent Application of Duggan, et al., Serial No. 09/589,144 filed June 7, 2000.
<i>Am</i>	2S	"Hard Disk Drives", IBM Corporation, published to the Internet at least as early as September 17, 2001, 5 pages.

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*08/24/04*

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Sheet 3 of 4

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	10006728-1	00/000,000	
	APPLICANT		
	Kevin Collins		
	FILING DATE	GROUP	
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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	3A					
	3B					
	3C					
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	3K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	3L							
	3M							
	3N							
	3O							
	3P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

104	3Q	"S.M.A.R.T., Advanced Drive Diagnostics", Quantum Corporation, 1998, 13 pages. NOTE: This article was printed from a local cache directory and therefore, some figures are unavailable.
	3R	"Information Brief: Self-Monitoring, Analysis and Reporting Technology", IBM Group, 1998, 4 pages.
✓	3S	"Playing it Smart", Eric Ottem, Judy Plummer, Seagate Technology White Papers, June, 1995, 4 pages.

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	APPLICANT <b>K vin Collins</b>		
	FILING DATE <b>Unkn wn</b>	GROUP <b>Unk 2114</b>	

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	4A					
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	4L							
	4M							
	4N							
	4O							
	4P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>104L</i>	4Q	"Data Lifeguard Online Diagnostics S.M.A.R.T. Test", Western Digital Corporation, published to the Internet at least as early as September 28, 2000, 2 pages.
	4R	
	4S	

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